

THIS REPORT CONTAINS ASSESSMENTS OF COMMODITY AND TRADE ISSUES MADE BY USDA STAFF AND NOT NECESSARILY STATEMENTS OF OFFICIAL U.S. GOVERNMENT POLICY

Required Report - public distribution

Date: 12/14/2017 **GAIN Report Number:** HK1751

Hong Kong

Food and Agricultural Import Regulations and Standards -Narrative

FAIRS Country Report

Approved By: Alicia Hernandez Prepared By: Caroline Yuen

Report Highlights:

The updates to this report include the framework of the proposed amendment to Hong Kong's metallic contamination regulation. Other parts of the report remain the same as the 2016 FAIRS report.

This report was prepared by the Agricultural Trade Office of the USDA/Foreign Agricultural Service in Hong Kong for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was not available. It is highly recommended that U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

Section I: General Food Laws

Hong Kong is a Special Administrative Region of the People's Republic of China with its Basic Law (mini-constitution) reflecting the "one country, two systems" concept. Hong Kong maintains distinct social, economic, judicial, and political systems and food and agricultural import regulations that are separate from those of mainland China (until 2047) with the exception of foreign and defense affairs.

Hong Kong participates in international organizations and trade agreements under the name "Hong Kong, China." While a separate member of World Trade Organization (WTO) and Asia-Pacific Economic Cooperation (APEC), Hong Kong participates in Codex and the World Organization for Animal Health (OIE) as a member of China's delegation. Hong Kong draws reference from Codex and OIE in the context of food safety standards and animal health standards in setting, or in lieu of, domestic regulations.

Public Health and Municipal Services Ordinance, Cap.132

In Hong Kong, food intended for sale must be fit for human consumption as defined under the legal framework for food safety control in Part V of the <u>Public Health and Municipal Services Ordinance</u>, <u>Cap.132</u> and subsidiary legislation. The list of subsidiary legislation includes:

- Coloring Matter in Food Regulations
- Dried Milk Regulations
- Food Adulteration (Artificial Sweeteners) Regulations
- Food Adulteration (Metallic Contamination) Regulations
- Food and Drugs (Composition and Labeling) Regulations
- Food Business Regulation
- Frozen Confections Regulation
- Harmful Substances in Food Regulations
- Imported Game, Meat, Poultry and Eggs Regulations
- Milk Regulation
- Mineral Oil in Food Regulations
- Preservatives in Food Regulations

• Pesticide Residues in Food Regulations

Food Safety Ordinance, Cap 612

Pursuant to Food Safety Ordinance (Cap.612), Hong Kong instituted a registration program for food importers and distributors and a requirement for traders to maintain business records to enhance food traceability. This ordinance also empowers the authorities to tighten import controls on specific food types, prohibit the import and supply of problem foods and order the recall of foods, when necessary.

Competent Authority

Hong Kong's Center for Food Safety (CFS), which operates under the Hong Kong Food and Environmental Hygiene Department (FEHD), is responsible for implementing territory-wide food safety control policies and enforcing food- related legislation. Importers are encouraged to obtain health certificates, issued by health authorities of countries of origin, to certify imported food is fit for human consumption. FEHD tests foods at the point of entry and at the retail level for bacteriological examination and chemical analyses. FEHD, upon request, will pay the market price of any food samples taken.

The Agriculture, Fisheries and Conservation Department is responsible for the prevention of the introduction and spread of animal and plant diseases through enforcement of related animal and plant regulations.

Section II: Food Additive Regulations

According to Food and Drugs (Composition and Labelling) Regulations (Regulation 2 –Interpretation), food additives includes neither vitamins nor minerals used for enriching food nutrients, nor seasoning substances like salt, herbs, or spices. Food additives are not allowed in the following circumstances:

- to disguise defective raw materials like those which are bad or rotten
- to enhance the color, odor and flavor or shelf-life of food but consequently lead to substantial damage or reduction of nutrients
- to simplify or facilitate food processing where the desired effect can be obtained by proper processing practices and good hygienic standards
- to use additives that are hazardous to health

The following food regulations stipulate chemicals/additives that are allowed/not allowed to be used in food:

- Coloring Matter in Food Regulations <u>Chapter 132 H</u>
 Schedule 1 lists the Permitted Coloring Matter
- Food Adulteration (Artificial Sweeteners) Regulations <u>Chapter 132 U</u>

 The Schedule lists the Permitted Artificial Sweeteners
- Food Adulteration (Metallic Contamination) Regulations <u>Chapter 132 V</u>

- Schedule 1 lists the Maximum Permitted Concentration of Certain Metals Naturally Present in Specified Foods
- Schedule 2 lists the Maximum Permitted Concentration of Certain Metals Present in Specified Foods
- Food and Drugs (Composition and Labeling) Regulations <u>Chapter 132 W</u>
 Schedule 1 Part III lists additives in milk, butter, and cream products
- Harmful Substances in Food Regulations Chapter 132AF
 - Schedule 1 lists the Maximum Concentration of Certain Substances Present in Specified Foods
 - o Schedule 2 lists the Prohibited Substances
- Mineral Oil in Food Regulations Chapter 132 AR
- Milk Regulation <u>Chapter 132 AQ</u>
 - Section 21 lists Certain Ingredients Not to be Used in the Reconstitution of Milk or Milk Beverages
- Preservatives in Food Regulations <u>Chapter 132 BD</u>
 - Schedule 1 lists Food which May Contain Food Additives and the Description and Proportion of Food Additives in Each Case

The Preservatives Regulation establishes a positive list for these substances meaning that only the preservatives or antioxidants listed in the regulation are allowed in foods. The preservatives listed in Schedule 1 can only be used in the food categories specified within the maximum permitted levels. Alternative forms in which the permitted food additive may be used (to be calculated as the permitted food additive) are listed in Schedule 1A.

The Hong Kong Center for Food Safety has issued the <u>Preservatives and Antioxidants User Guidelines</u> for reference.

Food additives which fall within the functional use stipulated in the above mentioned regulations are governed by the respective regulations. Furthermore, the Food and Drugs (Composition and Labelling) Regulations (Cap. 132W) stipulate the compositional standards for certain food items.

The CFS refers to the safety evaluation done by international food safety authorities, such as the Joint FAO/WHO Expert Committee on Food Additives (JECFA), when considering whether to allow food additives, which do not fall within the regulated category, to be added to foods.

The CFS advises food manufacturers to exercise due care in choosing food additives, and to add only the right type and right amount of food additive which serves the desired technological function for the food. Food additives should be used under conditions of good manufacturing practice (GMP) which include limiting their use to the lowest possible levels necessary to accomplish the desired effect (Chapter 132W Schedule 1 Part IA Good Manufacturing Practice).

Section III: Pesticide and Other Contaminants

Pesticide Residues in Food Regulations – <u>Chapter 132CM</u>

Hong Kong's first Pesticide Residues in Food Regulation became effective August 1, 2014. The key points of the regulatory framework include:

- Adopting Codex's definition of "pesticide" and other related terms
- Adopting Codex's classification of foods
- Adopting a list of maximum residue limits (MRLs)/extraneous maximum residue limits (EMRLs) for certain pesticide-food pairs based on Codex and supplemented by standards of China, U.S. and Thailand
- Adopting a "modified positive list approach," i.e., pesticide residues found outside the list will be prohibited unless the food safety authority is satisfied that the level of residue will not be dangerous to health. The authority will conduct risk assessments to draw a final decision
- Providing a list of exempted substances to allow the trade to use pesticides that are natural and for which the residues are identical to, or indistinguishable from, natural food components
- Providing regular updates on the lists of MRLs/EMRLs and exempted substances¹, and
- Allowing application for revising/adding MRLs and exempted substances.

The Regulation specifies in Schedule 1 a list of maximum residue limits (MRLs) / extraneous maximum residue limits (EMRLs) for certain pesticide-food pairs (i.e. the maximum concentration of specified pesticide residues permitted in specified food commodities). Schedule 2 lists exempted substances.

The CFS suggests that exporters propose new MRLs/EMRLs or exempted pesticides for inclusion in Schedules 1 and 2 with supporting information if planning to supply food to Hong Kong containing pesticides not on the list. The CFS will consider applications on a case-by-case basis, depending on the latest international developments, consistency with the existing list, supporting documentation and risk assessment based on the local food consumption patterns.

Updated information on the Regulation, including Users' Guidelines and database are available at CFS's <u>pesticide website</u>.

Cadmium

In recent years, the HKG cited detections of U.S. produce samples collected during routine surveillance containing cadmium at levels exceeding Hong Kong's standard. U.S. exporters are reminded that the maximum permitted level of cadmium in vegetables is 0.1 ppm. While the U.S. has no specific regulation regarding cadmium residues in lettuce or other vegetables, the Codex standard is 0.2 ppm.

Contaminants Control Regulations

• Food Adulteration (Metallic Contamination) Regulations – <u>Chapter 132 V</u>

¹ The CFS has not updated the lists after the regulation became effective in 2014.

- Schedule 1 lists the Maximum Permitted Concentration of Certain Metals Naturally Present in Specified Foods
- Schedule 2 lists the Maximum Permitted Concentration of Certain Metals Present in Specified Foods

The CFS is in the process of updating this regulation incorporating the following principles.

- To set maximum residue limits (MRLs) for metallic contaminants with respect to individual food categories.
- To adopt all Codex maximum residual levels except for seven codex MRLs.
- To establish MRLs for certain food groups which are of relevance to local population based on domestic dietary pattern despite the absence of Codex metallic contaminant MRLs.

Further details are available at this webpage.

- Harmful Substances in Food Regulations <u>Chapter 132AF</u>
 - o Schedule 1 lists the Maximum Concentration of Certain Substances Present in Specified Foods
 - o Schedule 2 lists the Prohibited Substances

Schedule 1 stipulates that the maximum allowable aflatoxin level in peanuts or peanut products is 20 micrograms per kilogram. The level allowed in any food other than peanuts or its products is set at 15 micrograms per kilogram.

Action Levels of Certain Chemicals

While there are no regulations setting the maximum residue level of certain chemicals, the Hong Kong Center for Food Safety (CFS) through administrative measures has adopted an action level for the following chemicals in its food surveillance program.

Chemicals	Action Level
DEHP	1.5 ppm
DBP	0.3 ppm
DINP	9 ppm
B[a]P in cooking oil ²	10 μg/kg
Ractopamine ³	Referencing Codex standard in various animal
	products ⁴
Dioxins	1 pg/g
Sum of dioxins and DL-PCBs in hariy	6.5 pg/g
crabs	

Section IV: Packaging and Container Requirements

² The HKG has proposed to set the limit of B[a]P in edible oils to 5 ug/kg in pending legislation regulating edible oils and cooking waste

³ Paylean was approved for use in swine feeds in 2007 in Hong Kong

⁴ 10 ppb for meat products

Hong Kong currently has no special requirements for packaging or containers.

Section V: Labeling Requirements

General Requirements

The Food and Drug (Composition and Labeling) Regulations require food manufacturers and packers to label prepackaged food products in a prescribed, uniform and legible manner. Prepackaged food means any food packaged in such a way that the contents cannot be altered without opening or changing the packaging containing food ready for presentation to the ultimate consumer or a catering establishment as a single food item.

Although Hong Kong imports a variety of prepackaged food products, the import volume may not be sufficient to justify a distinct Hong Kong-specific label. As the CFS accepts stick-on labels, Hong Kong importers attach a stick-on label to the product after its arrival in Hong Kong.

The following information is required on the label of all prepackaged food except for 'exempted items' as provided in the Regulations.



1. Name of the Food

- Prepackaged food shall be legibly marked or labeled with its name or designation.
- The food name should not be false, misleading, or deceptive but should serve to make the nature and type of food known to the purchasers.
- 2. List of Ingredients

- Preceded by an appropriate heading consisting of the words "ingredients," "composition," "contents" or words of similar meaning, the ingredients should be listed in descending order of weight or volume determined at the time of their use when the food was packaged.
- If a food consists of or contains any of the following substances, the name of the substance shall be specified in the list of ingredients.
 - cereals containing gluten, (namely wheat, rye, barley, oats, spelt or their hybridized strains and their products);
 - crustacean and crustacean products;
 - eggs and egg products;
 - fish and fish products;
 - peanuts, soybeans, and their products;
 - milk and milk products (including lactose);
 - tree nuts and nut products;
- An additive constituting one of the ingredients of a prepackaged food shall be listed by both its functional class and its specific name or its identification number under the International Numbering System (INS) for Food Additives. The trade is also at liberty to use the prefix "E" or "e" with the INS number as adopted by the European Union under the E-numbering system.
- If a food consists of or contains sulphite in a concentration of 10 parts per million or more, the functional class of the sulphite and its name shall be specified in the list of ingredients.

3. Indication of "best before" or "use by" date⁵

Prepackaged food shall be legibly marked or labeled with the appropriate durability indication as follows:

- A "best before" (in Chinese characters as well) date; and
- In the case of a prepackaged food which, from the microbiological point of view, is highly perishable and is likely, after a short period, to constitute an immediate danger to human health, a "use by" (in Chinese characters as well) date.

The words "use by" and "best before" in English lettering and Chinese characters should be followed by the date up to which specific properties of the food can be retained, to indicate the shelf life of the food. The "use by" or "best before" date should be shown either in Arabic numerals or in both the English and Chinese languages. The day, month and year can appear in any order but the exact sequence has to be clearly declared in both Chinese and English. For specific details refer to the <u>Regulation</u>.

Deep-frozen food and any food with a shelf life of more than 18 months are also required to have a "best before" date.

⁵ Under the Food and Drugs (Composition and Labeling) Regulations, it is an offense to sell any food after its "use by" date. Furthermore, any person who, not being the food manufacturer or packer or without their written authorization, removes or obliterates any particulars on the label required under these regulations also commits an offense.

5. Name and Address of Manufacturer or Packer

Prepackaged food should be legibly marked or labeled with the full name and address of the manufacturer or packer, except under the following situations:

- The package is marked with an indication of the country of origin and the name and address of the distributor or brand owner in Hong Kong, and the address of the manufacturer or packer of the food in its country of origin has been notified in writing to the Director of FEHD.
- The package is marked or labeled with an indication of its country of origin and with a code marking identifying the manufacturer or packer in that country and particulars of the code marking and of the manufacturer have been notified in writing to the Director of FEHD.

6. Count, Weight or Volume

The food label should include the numerical count or net weight or net volume of the food. Units of measurements allowed for labeling purposes include Metric, Imperial and Chinese units.

Appropriate Language

The marking or labeling of prepackaged food can be in either the English or the Chinese language or in both languages. If both languages are used in the labeling or marking of prepackaged food, the name of the food, nutritional labeling and the list of ingredients shall appear in both languages.

Exemptions from Labeling Regulations

The following food categories are exempt from labeling regulations: individually wrapped confectionery products and preserved fruits intended for sale as a single item; prepackaged foods for sale at catering establishment for immediate consumption and wines, fruit wines and other drinks with an alcoholic strength by volume of 10 percent or more.

For alcoholic drinks with an alcoholic strength by volume of more than 1.2 per cent but less than 10 per cent, the durability period must be labeled on the drinks. Apart from this, they are exempt from all other labeling requirements.

The HKG released a Code of Practice regarding the Labeling of Alcoholic Drinks. This labeling guideline is provided to the trade to follow on a voluntary basis. (Under the Dutiable Commodities Regulation, every container containing liquor for local consumption is required to be labeled with the alcoholic strength.) Details refer to <u>GAIN Report #HK5021</u>.

Labeling on Genetically Engineered Food Products

At present, the HKG does not have any regulations regarding the labeling of genetically engineered (GE) food products. The HKG makes no distinction between conventional and GE foods in regulating food safety.

The HKG's position on GE food is to encourage the trade to practice voluntary labeling. The guidelines for voluntary labeling were established in 2006 and are based on the following four principals:

- The labeling of GE food complies with existing food legislation
- The threshold level applied in the guideline for labeling purpose is five percent, in respect of individual food ingredient
- Additional declaration on the food label is recommended when significant modifications of the food, e.g. composition, nutrition value, level of anti-nutritional factors, natural toxicant, presence of allergen, intended use, introduction of an animal gene, etc, have taken place
- Negative labeling is not recommended particularly for the use of the following terms:
 - GE free,
 - Free from GE ingredients, etc

For products with negative labeling, the HKG may test the products for GE ingredients and zero tolerance will be adopted for testing purposes. If products are found to have misleading labeling, a retailer may be subject to prosecution under <u>Section 61 – False Labeling and Advertisement of Food or Drugs</u> of Chapter 132 Public Health and Municipal Services Ordinance.

If the trade chooses to apply negative labeling, the government advises the use of less definite terms such as "sourced from non-GE sources" (which contains less than 5 percent of GE content) and to have documentation to substantiate such declaration.

For more details on the voluntary labeling guidelines and biotechnology in Hong Kong, please refer to GAIN Reports $\frac{\#HK6026}{\#HK1738}$ respectively.

Nutritional Labeling

Hong Kong requires nutritional labeling on all prepackaged food sold in Hong Kong which must include energy plus seven nutrients, namely: protein, carbohydrate, fat, saturated fat, trans fat, sodium and sugars. Products selling less than 30,000 units a year may apply for a small volume exemption provided that the products do not carry any nutritional claims. Traders applying for exemption must pay HK\$345 (US\$44) per product variety for the first year and HK\$335 (US\$43) for annual renewal. Online applications cost approximately US\$10 less.

The nutritional labeling regulation does not apply to formula and food intended for consumption by children under the age of 36 months (see Nutritional Labelling section) and other food for special dietary uses.

Hong Kong's nutritional labeling regulation is unique; all imported foods making nutritional claims from all sources must be re-labeled for the Hong Kong market. Despite the fact that the U.S. requires the labeling of 15 energy/nutrients and Hong Kong only seven, U.S. product labels may not satisfy the Hong Kong nutritional labeling requirements due to different rounding practices, and recommendations

for daily consumption.

Given below are some key areas for which U.S. labels must be examined for compliance with Hong Kong's nutrition labeling requirements:

1) U.S. products carrying claims on vitamins and minerals must have claims in absolute value per 100 gram or per serving size for sale in Hong Kong. The U.S. labeling law requires vitamin and mineral content to be labeled in percentage of minimum daily requirement while Hong Kong requires all claimed nutrients to be labeled in absolute value.

2) U.S. and Hong Kong have set different conditions for making nutritional claims. For example, Hong Kong's standard for "low fat" is 3 gm per 100 gm of food, while the U.S. standard is 3 gm per serving. Therefore, a "low fat" U.S. product may not be allowed to make a low fat claim in Hong Kong.

3) U.S. and Hong Kong have set a different definition of zero for various nutrients. For example, Hong Kong's zero definition of transfat is 0.3 gms/100 gms, while the U.S. is 0.5 gms/serving. Therefore, a "0 transfat" on the nutrition panel of a U.S. product may violate Hong Kong's nutrition regulation.

Hong Kong's nutritional labeling regulation also covers nutrient function claims, which must fulfill the following criteria:

- Based on scientific substantiation and scientific consensus;
- Contains information on the physiological role of the claimed nutrient; and
- Content of the claimed nutrients must meet the relevant condition of nutrient content claim for "source", if applicable.

For more information on the impact of Hong Kong's nutritional labeling regulation, please see GAIN Reports $\frac{\#HK7011}{\#HK8017}$. Details of the regulation are contained in the government website on nutrition labeling.

Nutritional Labelling on Infant Formula, Follow-up Formula and Prepackaged Foods for Infants and Children under 36 months

In 2014, Hong Kong introduced an <u>amendment</u> to the Food and Drugs (Composition and Labelling) Regulation to regulate nutritional composition of infant formula and restrict labelling of infant formula, follow-up formula and prepackaged food for infants and children under the age of 36 months. The requirements for nutritional composition and nutritional labelling for infant formula became effective on December 13, 2015 following an 18-month grace period. A longer grace period was provided for nutritional labelling requirements for follow-up formula and prepackaged food. The relevant provisions became effective on June 13, 2016 after a two-year grace period.

The amendment requires the labeling of energy value and 29 nutrients (1+29) for infant formula and the labeling of energy value and 25 nutrients (1+25) for follow up formula. These requirements are in line with Codex standards. Infant formula and follow-up formula refers to formula for infants up to and including 12 months and for young children from six months to 36 months, respectively.

Fluoride is not a required nutrient for infant formula. If it is contained in infant formula at a level exceeding 100 ug per 100 kcal or 24 ug per 100 kJ, equivalent to the Codex standard, a statement relating to the risk of dental fluorosis is mandatory.

For prepackaged food for infants and young children, labeling energy value and four nutrients, namely protein, fat, carbohydrates and sodium (1+4), as well as vitamin A and vitamin D (if they are added to the food) is mandatory. All prepackaged food (excluding infant formula or follow-up) for infants and young children up to the age 36 months are covered by this regulation.

Unlike Codex, Hong Kong requires prepackaged food for infants and young children to be labelled with sodium content to combat salt-induced high blood pressure among the population from an early age.

Formula for special medical purposes for infants and young children is exempt from the labelling requirements because the standard composition requirements may not meet the specific needs of these children. Moreover, the amendment exempts products packed in a container which has a total surface area of less than 100 cm^2 from the nutritional labelling requirements.

The nutritional composition requirements are provided in Section VI. More details are available at the Hong Kong Food Safety Center's <u>website</u>.

Proposed Regulations on Claims on Formula Products

In early 2015, the Hong Kong government conducted a public consultation on proposed regulations regarding claims on formula and food for children under 36 months. The proposal was notified to WTO via G/TBT/NHKG/47. Based on the consultation result, the HKG recommended the prohibition of nutrition and health claims for all baby and follow-up formula but allowed for foods for children under 36 months. However, the HKG proposed to prohibit medicinal claims on all formula and foods for infants and children aged under 36 months.

The regulation would control use of such claims in both packaging and advertising. The HKG pledged to provide a transition period prior to implementation of any new regulation but the duration has not been announced. Also, no legislative time frame has been provided.

Some of the proposed regulatory measures have been opposed by the industry and the HKG has not made any further announcements on the way forward.

Section VI: Other Specific Standards

Nutritional Composition on Baby Formula

The Food and Drugs (Composition and Labelling) (Amendment) (No. 2) Regulation 2014 stipulates the nutrition composition requirements for infant formula. Infant formula is required to contain energy and 33 nutrients (1+33) at levels and proportions according to Codex standards.

Taurine and decosahexaenoic acid (DHA) are not mandatory nutrients, but if added to formula, must be within the maximum level and proportion respectively as stipulated in the amendment and in line with

Codex standards.

Formula for special medical purposes for infants is exempt from the composition requirements because the standard composition requirements may not meet the specific needs of these children. Detailed composition requirements are contained in the <u>regulation</u>.

Milk Products

Schedule 1 of the Milk Regulation (Chapter 132 AQ) specifies the heat treatment method for the pasteurization and sterilization of milk products.

Furthermore, the composition of milk and milk products including butter, cheese, ghee, and ice cream is specified in the Food and Drugs (Composition and Labelling) Regulations (<u>Cap. 132W</u>) Schedule 1, Part II.

Composition Standard of Other Food Products

Hong Kong regulations set composition requirements for the following products, namely, margarine, coffee, lard, vinegar, malt vinegar, honey and baking powder. Requirements are contained in the Food and Drugs (Composition and Labelling) Regulations (<u>Cap. 132W</u>) Schedule 1, Part I.

Section VII: Facility and Product Registration Requirements

In general, Hong Kong does not require facility and product registration of food supplies from other foreign countries, with few exceptions not relating to U.S. food products. However, there are certification requirements for certain products, the information of which is provided in Section VIII.

While there are no registration requirements for facility and product registration of imported foods, the Food Safety Ordinance (Cap.612) requires Hong Kong food importers and distributors to register with the Hong Kong Food and Environmental Hygiene Department and to comply with record keeping requirements for the movement of food products for product traceability purposes.

Products Containing Living Modified Organisms

Importers are required to seek prior approval from the Agriculture, Fisheries and Conservation Department (AFCD) before the importation of products containing "genetically modified organisms" (GMOs), which are intended to be released to the environment, pursuant to the Genetically Modified Organisms (Control of Release) Ordinance and the Genetically Modified Organisms (Documentation for Import and Export) Regulation. "GMOs" in the Ordinance are referred to as LMOs or living modified organisms.

Moreover, there are documentation requirements for shipments containing GMOs. Shipments containing GMOs for food or feed or for processing must be accompanied by documentation containing the following information:

• If the identity of the GMO is known, the shipment contains such a GMO; if the identity of the

GMO is not known, the shipment may contain such a GMO;

- The GMO is not intended for release into the environment;
- The common name, scientific name and, where available, commercial name of the GMO;
- The Internet address of the biosafety Clearing House;
- The transformation event code of the GMO or, where available, its unique identifier code; and,
- The details of the importer or exporter (such as name, address and contact information) for further information.

There is no specific requirement regarding the form of documentation accompanying GMO shipments. The use of a commercial invoice or other documents required by existing documentation systems is sufficient.

Products containing GMO ingredients for release into the environment or for contained use are required to provide different attestations on documents.

Detailed requirements pertaining to the Regulation are provided at AFCD's website.

Proposed Mandatory Pre-market Safety Assessment Scheme for GM Food

The HKG announced in 2013 plans to regulate genetically engineered (GE) foods by introducing a mandatory pre-market safety assessment. According to the HKG's proposed regulatory framework, a GE food developer must apply to the Hong Kong government if any food products containing its GE ingredients are to be sold in Hong Kong. The government has not announced a timeframe for implementation of the new regulation.

Section VIII: Other Certification and Testing Requirements

Import Duties and Permits

Hong Kong is a free port, imposing duties on only four products- liquor, tobacco, hydrocarbon oils, and methyl alcohol- which are also taxed domestically at the same rate. Local importers must apply for a license from the Hong Kong Customs and Excise Department for the importation of dutiable commodities. In addition, a licensed importer has to apply for a permit for each and every consignment. The current duties are as follows:

Cigarettes per 1000 sticks: US\$245 (HK\$1906)

Cigars per kg: US\$316 (HK\$2455)

Beer and liquor with less than 30 percent alcohol: 0%

Liquor with more than 30 percent alcohol: 100%

Under the amended Dutiable Commodities Ordinance, Cap. 109, Hong Kong wine/liquor traders are not required to apply for any licenses or permits for the import or export, manufacture, storage or movement of wine and liquor with an alcoholic strength of less than 30 percent by volume. No valuation of the

alcoholic beverages concerned for duty purpose is required. However, the existing licensing/permit control on liquors with an alcoholic strength of more than 30 percent by volume measured at a temperature of 20 degree Celsius remains unchanged.

To facilitate the customs clearance for wine and alcoholic beverages, traders are encouraged to provide a clear description in the freight/shipping documents of the type of liquor and the alcoholic strength of the respective consignment.

Certificate of Origin

Brandy and whisky imported for sale in Hong Kong are required to provide a Certificate of Origin, certifying the type, nature, quality, and age of the liquor. If the brandy or whisky is to be re-exported and is not intended to be consumed in Hong Kong, the Hong Kong government does not require a Certificate of Origin for its importation.

Gain report #HK1439 provides general information on Hong Kong's import regulations for wine and spirits.

Certification Requirements

There are specific legal/administrative requirements regarding importation of the following items due to their perishable or high-risk nature --

- game, meat, and poultry (including eggs)
- exotic meats
- milk and milk beverages
- frozen confections
- marine products
- plants
- live animals
- endangered species
- health foods
- edible fats and oils

For samples of health certificates, exporters may read the ATO Hong Kong GAIN Report – <u>Fairs</u> <u>Certification</u>.

Imported Game, Meat, Poultry and Eggs Regulations

The importation of frozen or chilled beef, mutton and pork, and poultry is subject to import licensing control. The Center for Food Safety of Food and Environmental Hygiene Department (FEHD) is responsible for issuing import licenses for these foods.

The Imported Game, Meat, Poultry and Eggs Regulations require meat, poultry, and egg products imported to Hong Kong to have an official certificate issued by a competent authority recognized by the FEHD. (Mandatory certification for shell eggs and pasteurized, liquid and powdered egg products

became effective on December 5, 2015.) The Department recognizes the United States Department of Agriculture as a competent authority. Hong Kong importers are required to apply for a permit in advance of the importation of ground meats, chilled meats, and beef products from the United States.

Exotic Meats

U.S. exporters are advised to contact the Food Safety and Inspection Service (FSIS) or Agricultural Trade Office (ATO) Hong Kong to check if any trade protocol has been established between the United States and Hong Kong government with regard to the export of a particular exotic meat to Hong Kong.

Hong Kong's Center for Food Safety expects U.S. exporters to produce a health certificate issued by the FSIS of the United States Department of Agriculture for all U.S. exotic meat exports to Hong Kong. Additionally, U.S. exporters are advised to contact the U.S. Fish and Wildlife Service to obtain the scientific name of the animal. If the animal is an endangered species, a C.I.T.E.S. (Convention on International Trade in Endangered Species of Wild Fauna and Flora) certificate is required for the importation and exportation of the product. In addition, the Hong Kong importer has to apply for an import license from the Hong Kong Agriculture, Fisheries and Conservation Department (AFCD) before the meat products of any endangered species can be imported into Hong Kong.

If the animal is not an endangered species, the U.S. exporter is required to obtain a certificate from the U.S. Fish and Wildlife Service certifying the animals' scientific name and its domesticated origin. This certificate is necessary for the importation of all exotic meats into Hong Kong. U.S. exporters, however, are strongly advised to enquire about the documentation requirements from the Center for Food Safety on a case-by-case basis.

Milk

The Milk Regulation requires any fluid milk or milk beverage (including cream) to be imported into Hong Kong from a source of manufacture that has been approved by the Director of Food and Environmental Hygiene. The Assistant Director of the Center for Food Safety exercises the authority on behalf of the Director of FEHD to make the approval. Before importing these food products into Hong Kong, importers need to apply to the Assistant Director in writing and provide the following information:

- the full name and address of the milk or milk beverage processing plant;
- the law of the country of origin governing the production of milk or milk beverages;
- empty containers of the milk or milk beverage with labels;
- information on the heat treatment method of the milk or milk beverage and facilities, including production equipment and water supply, in the processing plant;
- a certificate from an appropriate authority in the country of origin for the purpose of --
 - 1. certifying the effectiveness and efficiency of the heat treatment method in pasteurizing or sterilizing the milk or milk beverage and that the products have been handled, processed and packed under hygienic conditions
 - 2. showing the chemical and bacteriological quality of the products; and

• a statement from the manufacturer confirming the approximate shelf-life of the products.

After obtaining the approval and satisfying other conditions which may be imposed by the Assistant Director of the Center for Food Safety, importers may import the milk or milk (beverages) products into Hong Kong. Initially, an import permit is valid for six months, after four renewals, an import permit valid for one year may be issued. When a milk or milk beverage consignment arrives before its release, products will be inspected and, if necessary, sampled by the Center for Food Safety. Upon the Center's satisfaction, a "release" letter will be issued to the local importer. Each milk shipment has to be accompanied by health certificates.

Hong Kong's milk regulation allows two types of milk registration: pasteurized and sterilized milk.

Frozen Confection

The Frozen Confection Regulation requires any frozen confection to be imported into Hong Kong from a source of manufacture approved by the Director of Food and Environmental Hygiene. Assistant Director of the Center for Food Safety exercises the authority on behalf of the Director of FEHD to make the approval. Before importing these food products into Hong Kong, importers need to apply to the Assistant Director in writing and provide the following information:

- the full name and address of the frozen confection processing plant;
- the law of the country of origin governing the production of frozen confections;
- empty containers or wrappers of the frozen confection with labels;
- information on the heat treatment method of the frozen confection and facilities, including production equipment and water supply, in the processing plant;
- a certificate from an appropriate authority in the country of origin for the purpose of :
 - 1. certifying the effectiveness and efficiency of the heat treatment method in sterilizing the frozen confection and that the products have been handled, processed and packed under hygienic conditions
 - 2. showing the chemical and bacteriological quality of the products; and
- details of ingredients, including coloring matter, stabilizers, sweetening agents, etc., and their amount in the frozen confection.

After obtaining the approval and satisfying other conditions, which may be imposed by the Assistant Director of the Center for Food Safety, importers may import the frozen confections into Hong Kong. Initially, an import permit is valid for six months, however, after four renewals, an import permit valid for one year may be issued. When a frozen confection consignment arrives and before its release, the products will be inspected and if necessary, sampled by the Center. Upon the satisfaction of the Department, a "release" letter will be issued to the importer. Each frozen confection shipment has to be accompanied by a health certificate.

Marine Products

Presently, it is not mandatory for seafood products to be accompanied by a health certificate, but U.S. exporters to Hong Kong usually supply health certificates to facilitate customs clearance, particularly for seafood products which are to be consumed raw. However, the certificates submitted do not have a

standard attestation since the HKG has not established official health certification requirements for U.S. seafood products. The HKG accepts seafood certificates issued by individual states or the National Oceanic and Atmospheric Administration (NOAA).

When a consignment of seafood products arrives at entry points in Hong Kong, it may be subject to inspection or sampling. If the importer concerned is not able to present health certificates during inspection, the Center of Food Safety may take consignment samples for examination before release.

Plants

The importation of plants to Hong Kong is subject to the Plant (Importation and Pest Control) Ordinance, Cap. 207. Any plant imported into Hong Kong must be accompanied by a Plant Import License issued by the Agriculture, Fisheries and Conservation Department and a valid Phytosanitary Certificate issued by the competent authority in the country of its origin.

No Plant Import License or Phytosanitary Certificate will be required for import of the following items:

- Cut flowers
- Fruits and vegetables for consumption
- Grains, pulses, seeds, and spices for human or animal consumption or for industrial use
- Timber and timber products including rattan and bamboo
- Dried tobacco and manufactured articles incorporating dried leaves
- Plants produced in and imported from China

To avoid any unnecessary delay in customs clearance for plants, U.S. exporters are advised to ask their Hong Kong importers to obtain a Plant Import License from the Hong Kong Agriculture, Fisheries & Conservation Department prior to shipment of plants. If the application is found to be in order, a Plant Import License will normally be issued after two working days from receipt of the application.

Live Animals

The relevant legislation covering the importation of live animals is as follows:

- Public Health (Animals and Birds) Ordinance and Subsidiary Regulations, Cap. 139
- Prevention of Cruelty to Animals Ordinance, Cap.169
- Rabies Ordinance, Cap. 421
- Protection of Endangered Animals and Plants Ordinance, Cap.586
- Public Health and Municipal Services Ordinance and Subsidiary Legislation, Cap.132

Requirement for a Permit in Advance

Importation of live animals and birds is regulated under the Public Health (Animals and Birds) Regulations, Cap. 139 and the Rabies Ordinance, Cap. 421. Importers must apply for a permit from the Agriculture, Fisheries & Conservation Department before importation. The importer must be a locallybased person or a company incorporated in Hong Kong that is answerable to the laws of Hong Kong and shall take every precautionary measure to ensure that all permit terms are fully complied with. The permit is valid for three months and good for one consignment. In addition to import permits, a valid veterinary health certificate issued by the competent veterinary authority of the exporting country must accompany animals and birds imported to Hong Kong.

Agriculture, Fisheries and Conservation Department is the regulatory department. Its website provides <u>import requirements</u> for animals and birds, including dogs, cats, breeding pigs, horses, birds, poultry, reptiles, etc.

Endangered Species

The Protection of Endangered Species of Animals and Plants Ordinance, <u>Cap. 586</u>, is the local legislation which gives effect to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) in Hong Kong. The control regime follows closely the requirements under CITES.

CITES imposes different export and import controls according to the Appendices in which a species is listed. In general, species listed in Appendix I require an export license and an import permit, while an export license is adequate for species listed in Appendix II. No import permit is required for species listed in Appendix II. The licensing system covered by the ordinance is based on consignment or keeping premises rather than on individual species.

The salient points of the Protection of Endangered Species Animals and Plants Ordinance are as follows:

Appendix I species

 The importation of Appendix I species require a license issued in advance by AFCD. Each license is valid for one shipment at one time. Commercial trade in Appendix I species of wild origin is not allowed and AFCD will not issue a license. Appendix I animals bred in captivity for commercial purposes from CITES registered farms and Appendix I plants artificially propagated for commercial purposes are treated as Appendix II specimens and therefore subject to the same control as Appendix II specimens.

Appendix II species

- The ordinance does not require an import license for the importation of species listed on CITES Appendix II. (Except for live species of wild origin) Export licenses issued by the exporting country are still required.
- 3) Different from CITES requirements, the importation to Hong Kong of live species of wild origin from CITES Appendix II is required to have an import license in addition to an export license issued by the exporting country.
- 4) The commercial importation of both wild and cultivated ginseng requires an export license issued by the exporting countries. Hong Kong traders do not need to apply for any import licenses. Individuals bringing in ginseng for personal use, regardless of wild or cultivated, do not need to produce an export license issued by the exporting country or import licenses issued

by AFCD.

Appendix III listed species

5) For Appendix III listed species, the importation to Hong Kong requires an export license issued by exporting countries. The importation of an Appendix III species is required to have a valid CITES export permit or a certificate of origin issued by the exporting country. Traders do not need to apply for any import licenses from the Hong Kong government.

Dietary Supplements, Health and Organic Foods

In Hong Kong, dietary supplements, foods with health claims on the label, and organic foods are subject to the same food ordinance as conventional foods. Retailers are expected to provide truthful labeling as regulated by <u>Chapter 132 Section 61</u>– False Labeling and Advertisement of Food or Drugs.

Dietary supplements and health foods should not include medicinal ingredients or risk being regarded as pharmaceutical products. Pharmaceutical products are subject to registration under the Health Department and are regulated by the Pharmacy and Poisons Ordinance. On the other hand, Chinese medicine, some may be regarded as health food, is subject to the Chinese Medicine Ordinance. The Undesirable Medical Advertisements Ordinance (Chapter 231) prohibits advertisements claiming that a product has curative or preventive effects on any of the diseases listed in the schedule to the Ordinance.

The Hong Kong Organic Center provides organic certification for local produce but Hong Kong does not have a law regulating imported organic food products. U.S. organic products may be sold in Hong Kong with the USDA organic logo.

Edible Oil - Consultation on Regulatory Control on Edible Fats and Oils

Hong Kong government's public <u>consultation</u> on proposed regulatory control on edible fats and oils ended in October 2015, but no progress on legislative work has been announced. The proposed regulatory control consists of two parts which could impact trade. First, U.S. exports need to comply with the new statutory safety standards. Second, shipments have to be accompanied by an official certificate or a certificate issued by any officially recognized independent testing institution.

Presently, there is no specific regulation stipulating the safety standard for edible fats and oils in Hong Kong. Such products are regulated by the general food laws covering all food products.

Products covered in the proposed regulatory regime include the following:

- Vegetable oils, such as olive oil, cottonseed oil and peanut;
- Animal fats, such as lard and tallow
- Edible fats and oils used as ingredients

Proposed Regulatory Measures

• To prohibit the use of "waste cooking oils" and "substandard fats and oils" as ingredients for

edible fats and oils manufactured locally or imported into Hong Kong

- To require edible fats and oils manufactured locally for either export or domestic sale to be accompanied by an official certificate or a certificate issued by an officially recognized independent testing institution certifying the regulated products meet the statutory standard and is fit for human consumption. This requirement will be incorporated into the plant licensing conditions for production.
- To require importers of edible fats and oils to provide an official certificate or a certificate issued by an officially recognized independent testing institution certifying the regulated products meet the statutory standard and are fit for human consumption.
- To apply equivalent import regulatory measures to exports
- To require traders to provide copies of certificates to downstream distributors, retailers or food premises for Center for Food Safety's inspection.

Time Frame

The Hong Kong government did not specify a time frame for the enactment of the new regulation. However, it pledged to provide adequate grace period for the trade to refine its product formula to comply with the statutory product standard.

Section IX: Import Procedures

Documents required to facilitate customs clearance are:

- Manifests provided by shippers;
- Import licenses or removal permits (if required, importers should apply licenses or permits in advance);
- Other supporting documents such as bill of lading, airway bill, invoice, packing list etc.

In addition, the Center for Food Safety requires importers to provide an official health certificate for the importation of meat and egg products, frozen confection and milk products. When a consignment arrives and before its release, the products will be inspected, and if necessary, sampled. Upon the satisfaction of the Department, a "release" letter will be issued to the importer.

Section X: Copyright and /Trademark Laws

The Intellectual Property Department has the responsibility to protect intellectual property in Hong Kong. It provides trade mark, patent, and designs registration. The Trade Marks Ordinance stipulates the registration procedure of trademarks and the range of signs that can be registered as marks. Also the ordinance allows parallel imports except when "the condition of the goods has been changed or impaired after they have been put on the market, and the use of the registered trade mark in relation to those goods is detrimental to the distinctive character or repute of the trade mark".

The government supports an <u>online trademarks search facility</u> that contains all registered trademarks and trademark applications in force on the Hong Kong Register of Trade Marks. The search facility is free.

Appendix 1: Key Government Regulatory Agency Contacts

Department to implement food safety control policy

The Center for Food Safety Food & Environmental Hygiene Department 43/F., Queensway Govt Offices 66 Queensway Hong Kong Tel: 852-2868-0000 Fax: 852-2834-8467 Web site: <u>http://www.fehd.gov.hk</u> E-mail: rc@fehd.gov.hk

Department to control the importation of plants & live animals

Agriculture, Fisheries & Conservation Department 5-8/F., Cheung Sha Wan Govt Offices 303, Cheung Sha Wan Rd Kowloon, Hong Kong Tel: 852-2708-8885 Fax: 852-2311-3731 Web site: <u>http://www.afcd.gov.hk/eindex.html</u> E-mail: mailbox@afcd.gov.hk

Department to register health foods containing medicinal ingredients

Department of Health Pharmaceuticals Registration Import & Export Control Section 18th Floor, Wu Chung House 213 Queen's Road East, Wanchai Hong Kong Tel : 852-2961-8754 Fax : 852-2834-5117 Web site : http://www.dh.gov.hk/english/main/main_ps/main_ps.html

Department to issue licenses for imported dutiable commodities

Hong Kong Customs & Excise Department Office of Dutiable Commodities Administration 6-9th floors, Harbor Building 38 Pier Road Central Hong Kong Tel: 852-2815-7711 Fax: 852-2581-0218 Web site: <u>http://www.info.gov.hk/customs</u> Email : customsenquiry@cutsoms.gov.hk

Department for Trade Mark Registration

Intellectual Property Department Trade Marks Registry 24th and 25th Floors, Wu Chung House 213 Queen's Road East Wan Chai Hong Kong Tel : 852-2803-5860 Fax : 852-2838-6082 Web site : http://www.ipd.gov.hk/eng/trademarks.htm

World Trade Organization (WTO) Enquiry Point

Trade & Industry Department Regional Cooperation Division 18/F., Trade Department Tower 700 Nathan Road Kowloon, Hong Kong Tel: 852-2392-2922 Fax: 852-2398-3747 Web site: <u>https://www.tid.gov.hk/eindex.html</u> E-mail: <u>enquiry@tid.gov.hk</u>

Appendix II: Other Import Specialist Contacts:

Agricultural Trade Office American Consulate General 18th Floor, St. John's Building 33 Garden Road, Hong Kong Tel: (852) 2841-2350 Fax: (852) 2845-0943 E-Mail: <u>ATOHongKong@usda.gov</u> Web site: <u>http://www.usfoods-hongkong.net</u>